Attorney Docket No. 5051-660

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Burkholder et al.

Application Serial No.: Filed Concurrently Herewith

Filed: Concurrently Herewith

For: WATER SOLUBLE TOXIN PRODUCED BY PHIESTERIA SPECIES

Date: April 1, 2005

Mailstop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the amendment by the U.S. Patent and Trademark Office to 37 C.F.R. § 1.98(a)(2)(ii) effective October 21, 2004.

It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. §1.56 and Section 609 of the MPEP.

No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Myers Bigel Sibley & Sajovec, P.A.

P. O. Box 37428

Raleigh, North Carolina 27627 Telephone: (919) 854-1400 Facsimile: (919) 854-1401

Customer No. 20792

Respectfully submitted

Kenneth D. Sibley

Registration No. 31,065

CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10

Express Mail Label No.: EV 6747595245 US

Date of Deposit: April 1, 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Evelyn Casey

JC06 Rec'd/PCT/PTO 411 (APD 2000

				טטטט ווארן טטטט ווארן	10/PCI/PIN 111 /100 2005		
Substitute f	om 1449A/PTO			•	plete if known APR 2005		
				Application Number	Filed Concurrently Herewith		
INFORM	ATION DISCL	OSURE		Filing Date	Filed Concurrently Herewith		
STATEMENT BY APPLICANT				First Named Inventor	JoAnn Burkholder		
• • • • • • • • • • • • • • • • • • • •				Group Art Unit	Unknown		
(use as many sheets as necessary)				Examiner Name	Unknown		
Sheet	Α	of	A	Attorney Docket Number	5051-660		

U.S. PATENTS AND PATENT PUBLICATIONS						
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication of Cited	
		Number	Kind Code (if known)	Document	Document MM-DD-YYYY	
	1.	US-6,261,848		Anderson et al.	07/17/2001	
	2.	US-6,391,558		Henkens et al.	05/21/2002	
	ŀ	US-				
	l l	US-				
		US-				
	1	US-				
		US-				
		US-				
	Ī	US-				

			FOREIGN P.	ATENT DOCUMENTS		
Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited	Date of	Translation
	Office	Number	Kind Code (if known)	- Document	Publication of Cited Document MM-DD-YYYY	
3.	PCT	WO 0010577		Fuisz et al.	03/02/2000	
					-	: -
 	 					<u> </u>
	No.	No. Office	No. Office Number	Cite No. Office Number Kind Code (if known)	No. Office Number Kind Code (if known)	Cite No. Office Number Kind Code (if known) Kind Code (if known) Rums of Patentee or Applicant of Cited Document Name of Patentee or Applicant of Cited Document Name of Patentee or Applicant of Cited Document Name of Patentee or Applicant of Cited Document Publication of Cited Document MM-DD-YYYY Substitute of Publication of Cited Document Name of Patentee or Applicant of Cited Document Name of Patentee or Applicant of Cited Document Publication of Cited Document Name of Patentee or Applicant of Cited Docume

		OTHER NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Т
	4.	Baden et al.; "Crystallization and Toxicology of T34: A Major Toxin from Florida's Red Tide Organism (Ptychodiscus Brevis)" <i>Toxicon</i> 19 :4 455-462 (1981).	
	5.	Burkholder et al.; "History of Toxic Pfiesteria in North Carolina Estuaries from 1991 to Present" BioScience 51:10 827-841 (2001).	
	6.	Fairey et al.; "Reporter Gene Assay for Fish-Killing Activity Produced by <i>Pfiesteria piscicida</i> " Environmental Health Perspectives 107 :9 711-714 (1999).	
	7.	Glasgow et al.; "A second species of icthyotoxic <i>Pfiesteria</i> (Dinamoebales, Dinophyceae)" <i>Phycologia</i> 40 :3 234-245 (2001).	
	8.	Kimm-Brinson et al.; "Identification of a P2X7 Receptor in GH4C1 Rat Pituitary Cells: A Potential Target for a Bioactive Substance Produced by <i>Pfiesteria piscicida</i> " <i>Environmental Health Perspectives</i> 109 :5 457-462 (2001).	
	9.	Edward D. Levin; "A Rat Model of the Cognitive Impairment from <i>Pfiesteria piscicida</i> Exposure" Environmental Health Perspectives 109:5 757-753 (2001).	
	10.	Melo et al.; "Microfluorimetric Analysis of a Purinergic Receptor (P2X ₇) in GH ₄ C ₁ Rat Pituitary Cells: Effects of a Bioactive Substance Produced by <i>Pfiesteria piscicida</i> " <i>Environmental Health Perspectives</i> 109 :5 731-737 (2001).	
	11.	Moeller et al.; "Current Progress in Isolation and Characterization of Toxins Isolated from <i>Pfiesteria</i> piscicida" Environmental Health Perspectives 109:5 739-743 (2001).	
	12.	Rezvani et al.; "Specificity of cognitive impairment from <i>Pfiesteria piscicida</i> exposure in rats Attention and visual function versus behavior plasticity" <i>Neurotoxicology and Teratology</i> 23 609-616 (2001).	
	13.	International Search Report for PCT/US0333637; Date of Mailing August 18, 2004.	<u> </u>

Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.